

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 5390329   |
| <b>Application Number:</b>                  | 09127341  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 9998  |
| <b>Title of Invention:</b>                  | OBJECT ORIENTED SYSTEM FOR MANAGING COMPLEX FINANCIAL INSTRUMENTS |
| <b>First Named Inventor/Applicant Name:</b> | MICHAEL DEADDIO   |
| <b>Customer Number:</b>                     | 27611   |
| <b>Filer:</b>                               | Ronald Abramson   |
| <b>Filer Authorized By:</b>                 |   |
| <b>Attorney Docket Number:</b>              | 11021.0001  |
| <b>Receipt Date:</b>                        | 26-MAY-2009   |
| <b>Filing Date:</b>                         | 31-JUL-1998   |
| <b>Time Stamp:</b>                          | 09:37:29  |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)                                       |

### Payment information:

|                        |    |
|------------------------|----|
| Submitted with Payment | no |
|------------------------|----|

### File Listing:

| Document Number | Document Description                                  | File Name          | File Size(Bytes)/<br>Message Digest                               | Multi Part /.zip | Pages (if appl.) |
|-----------------|---|--------------------|---|------------------|------------------|
| 1               | Amendment/Req. Reconsideration-After Non-Final Reject | Amendment04PRN.pdf | 1870740<br><small>9b51c4739322e7d3f00b2951446db1cf8af40b0</small> | no               | 6                |

### Warnings:

### Information:

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.